

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2000U035D1.US
In Re Application of: Wenzel, et al.			
U.S. Serial No. Unassigned	Filing Date 11/24/2003	Examiner Unassigned	Group Art Unit Unassigned

Address to:
**Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

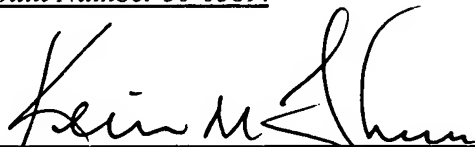
☐ Legible copies of the references are enclosed.

☒ This application is a:
☒ Continuation / Divisional,
☐ Continuation-in-Part,

of U.S.S.N. 09/726,704, filed 11/30/2000. Copies of the cited references are
☐ enclosed; ☒ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to **Deposit Account Number 50-0589.**

November 24, 2003
Date



Kevin M. Faulkner
Registration No. 45,427
Univation Technologies, L.L.C.
5555 San Felipe, Suite 1950
Houston, Texas 77056-2723
(713) 892-3729 Voice
(713) 892-3687 Facsimile

INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.		SERIAL NO.	
				2000U035D1.US		Unassigned	
				APPLICANT			
				Wenzel, et al.			
				FILING DATE		GROUP	
11/24/2003		Unassigned					
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,995,097	11/30/76	Brown et al.	526	74	
	AB	4,366,151	12/28/82	Oppenlaener et al.	424	238	
	AC	4,425,464	1/10/84	Allen et al	524	400	
	AD	4,439,537	3/27/84	Murai et al.	502	105	
	AE	4,513,095	4/23/85	Specia	502	111	
	AF	4,564,647	1/14/86	Hayashi et al.	523	211	
	AG	4,610,974	9/09/86	Soeca	502	113	
	AH	4,634,744	1/06/87	Hwang et al.	526	84	
	AI	4,652,540	3/24/87	Takahashi et al.	502	108	
	AJ	4,942,147	7/17/90	Karol et al.	502	113	
	AK	5,034,480	7/23/91	Funk et al.	526	74	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	AG	EP 0071252 B1	2/09/83	Europe			
	AH	EP 0355935 B1	02/28/90	Europe			
	AI	EP 0374619 A1	06/27/90	Europe			
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
	AN						
	AO						
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant							

INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.		SERIAL NO.	
				2000U035D1.US		Unassigned	
				APPLICANT			
				Wenzel, et al.			
				FILING DATE		GROUP	
		11/24/2003		Unassigned			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5,034,481	7/23/91	Funk et al.	526	74	
	BB	5,188,998	2/23/93	Bueschges et al.	502	107	
	BC	5,362,823	11/08/94	Karol et al.	526	114	
	BD	5,422,386	6/06/95	Fries et al.	523	344	
	BE	5,459,217	10/14/95	Todo et al.	526	348.1	
	BF	5,539,069	07/23/96	Tsutsui et al.	526	142	
	BG	5,733,838	3/31/98	Vicari et al.	502	335	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	BH	EP 0535230 A1	4/07/93	Europe			
	BI	EP 0630910 A1	12/28/94	Europe			
	BJ	EP 0634421 B1	1/18/95	Europe			
	BK	EP 0638595 B1	2/15/95	Europe			
	BL	EP 0679661 A1	11/02/95	Europe			
	BM	EP 0745607 A2	12/04/96	Europe			
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
	BN						
	BO						
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant							

INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.		SERIAL NO.	
				2000U035D1.US		Unassigned	
				APPLICANT			
				Wenzel, et al.			
				FILING DATE		GROUP	
11/24/2003		Unassigned					
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA						
	CB						
	CC						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	CD	EP 0763550 A1	3/19/97	Europe			
	CE	EP 0768319 A1	4/16/97	Europe			
	CF	EP 0803514 A1	10/29/97	Europe			
	CG	EP 0829491 A2	3/18/98	Europe			
	CH	WO 94/26793	11/24/94	PCT			
	CI	WO 96/32420	10/17/96	PCT			
	CJ	WO 97/41161	11/06/97	PCT			
	CK	WO 97/47662	12/18/97	PCT in German			X
	CL	WO 98/20045	05/14/98	PCT			
	CM						
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
	CN						
	CO						
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant							